



27 January 2021

## Lithium Australia director rotation

Lithium Australia NL (ASX: LIT or 'the Company') advises that, following an ongoing review of its board and corporate governance principles, Mr Bryan Dixon will retire as a non-executive director. His functions in that capacity will be fulfilled by Ms Kristie Young, who was recently appointed to the board. This orderly rotation strengthens the independence of the board, which now consists of two independent non-executive directors and the managing director. The director rotation will also add diversity to the board, with Ms Young bringing a wealth of experience gained via her business development roles with major accounting firms PwC Australia and Ernst & Young.

ACN: 126 129 413  
ASX: LIT  
Level 1  
677 Murray St  
West Perth WA 6005  
PO Box 1088  
West Perth WA 6872  
Phone +61 (0) 8 6145 0288  
Fax +61 (0) 8 9475 0847  
info@lithium-au.com  
[lithium-au.com](http://lithium-au.com)

Mr Dixon, who joined the Company as a non-executive director in December 2009, has served the board well over the past 11 years. He assisted in the transition of the Company from a base-metal explorer to a sustainable supplier of energy metals to the battery industry. During the last 12 months, Mr Dixon has provided additional consulting services to the Company in respect of fundraising and corporate management.

Moving forward, Mr Dixon will continue to provide the Company with consulting services while serving as non-executive chairman of Company subsidiary Envirostream Australia Pty Ltd ('Envirostream') and as a director of Company subsidiary Envirostream UK Ltd.

### Comment from Lithium Australia chairman George Bauk

"The Company thanks Mr Dixon for his valuable contribution as a director over the past 11 years and, in particular, for his significant input in the past 12 months with respect to recent capital-raising and development of Envirostream. Mr Dixon will continue to consult to the Company, with a focus on Envirostream. The recent appointment of Ms Young to the board has ensured a smooth transition, and we look forward to working with her further."

Authorised for release by the Board.

#### **George Bauk**

Non-executive Chairman

[george@totode.com.au](mailto:george@totode.com.au)

#### **Adrian Griffin**

Managing Director

Mobile +61 (0) 418 927 658

[Adrian.Griffin@lithium-au.com](mailto:Adrian.Griffin@lithium-au.com)

### About Lithium Australia NL

Lithium Australia aims to ensure an ethical and sustainable supply of energy metals to the battery industry (enhancing energy security in the process) by creating a circular battery economy. The recycling of old lithium-ion batteries to new is intrinsic to this plan. While rationalising its portfolio of lithium projects/alliances, the Company continues with R&D on its proprietary extraction processes for the conversion of all lithium silicates (including mine waste), and of unused fines from spodumene processing, to lithium chemicals. From those chemicals, Lithium Australia plans to produce advanced components for the battery industry globally, and for stationary energy storage systems within Australia. By uniting resources and innovation, the Company seeks to vertically integrate lithium extraction, processing and recycling.

# ASX ANNOUNCEMENT



## Media contacts

Adrian Griffin, Lithium Australia NL  
Kevin Skinner, Field Public Relations

08 6145 0288 | +61 (0) 418 927 658  
08 8234 9555 | +61 (0) 414 822 631



# Appendix 3Y

## Change of Director's Interest Notice

*Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.*

Introduced 30/09/01 Amended 01/01/11

|                       |                             |
|-----------------------|-----------------------------|
| <b>Name of entity</b> | <b>Lithium Australia NL</b> |
| <b>ABN</b>            | <b>29 126 129 413</b>       |

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

|                            |                  |
|----------------------------|------------------|
| <b>Name of Director</b>    | Bryan Dixon      |
| <b>Date of last notice</b> | 30 December 2020 |
| <b>Date of this notice</b> | 27 January 2021  |

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

|   |   |
|---|---|
| <b>Direct or indirect interest</b>  | Direct  |
| <b>Nature of indirect interest (including registered holder)</b><br><small>Note: Provide details of the circumstances giving rise to the relevant interest.</small> | <b>Indirect</b><br>Warrior Finance Pty Ltd, an entity of which Bryan Dixon is a director and shareholder<br>Warrior Strategic Pty Ltd, an entity of which Bryan Dixon is a director and shareholder |
| <b>Date of change</b>   | 21 January 2021   |
| <b>No. of securities held prior to change</b>   | <b>Indirect</b><br>3,237,654 LIT ordinary shares<br>759,936 LITCF partly paid shares<br>5,625,000 Performance rights expiring 24/12/2025  |
| <b>Class</b>  | LIT ordinary shares   |
| <b>Number acquired</b>  | 140,227   |
| <b>Number disposed</b>  | Nil   |
| <b>Value/Consideration</b><br><small>Note: If consideration is non-cash, provide details and estimated valuation</small>  | \$0.0503 per share / \$7,053.41   |
| <b>No. of securities held after change</b>  | <b>Indirect</b><br>3,377,881 LIT ordinary shares<br>759,936 LITCF partly paid shares<br>5,625,000 Performance rights expiring 24/12/2025 (vested)   |

+ See chapter 19 for defined terms.

**Appendix 3Y**  
**Change of Director's Interest Notice**

---

|   |  |
|---|--|
| <b>Nature of change</b><br>Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back | Issue of shares pursuant to the Remuneration Sacrifice Share Plan as part of CoVid-19 arrangements |
|---|--|

**Part 2 – Change of director's interests in contracts**

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

|  |     |
|--|-----|
| <b>Detail of contract</b>  | N/A |
| <b>Nature of interest</b>  | N/A |
| <b>Name of registered holder (if issued securities)</b>  | N/A |
| <b>Date of change</b>  | N/A |
| <b>No. and class of securities to which interest related prior to change</b><br>Note: Details are only required for a contract in relation to which the interest has changed | N/A |
| <b>Interest acquired</b>   | N/A |
| <b>Interest disposed</b>   | N/A |
| <b>Value/Consideration</b><br>Note: If consideration is non-cash, provide details and an estimated valuation   | N/A |
| <b>Interest after change</b>   | N/A |

**Part 3 – <sup>+</sup>Closed period**

|   |     |
|---|-----|
| <b>Were the interests in the securities or contracts detailed above traded during a <sup>+</sup>closed period where prior written clearance was required?</b> | No  |
| <b>If so, was prior written clearance provided to allow the trade to proceed during this period?</b>  | N/A |
| <b>If prior written clearance was provided, on what date was this provided?</b>   | N/A |

---

+ See chapter 19 for defined terms.

# Appendix 3Z

## Final Director's Interest Notice

*Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.*

Introduced 30/9/2001.

|                       |                             |
|-----------------------|-----------------------------|
| <b>Name of entity</b> | <b>Lithium Australia NL</b> |
| <b>ABN</b>            | <b>29 126 129 413</b>       |

We (the entity) give ASX the following information under listing rule 3.19A.3 and as agent for the director for the purposes of section 205G of the Corporations Act.

|   |                 |
|---|-----------------|
| <b>Name of director</b>                         | Bryan Dixon     |
| <b>Date of last notice</b>                      | 27 January 2021 |
| <b>Date that director ceased to be director</b> | 27 January 2021 |

### Part 1 – Director's relevant interests in securities of which the director is the registered holder

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

|   |
|---|
| <b>Number &amp; class of securities</b> |
| Nil                                     |

+ See chapter 19 for defined terms.

**Appendix 3Z**  
**Final Director's Interest Notice**

---

**Part 2 – Director's relevant interests in securities of which the director is not the registered holder**

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

| <b>Name of holder &amp; nature of interest</b>   | <b>Number &amp; class of securities</b>  |
|--|--|
| <small>Note: Provide details of the circumstances giving rise to the relevant interest</small> |  |
| Warrior Strategic Pty Ltd, an entity of which Bryan Dixon is a director and shareholder        | 3,377,881 LIT ordinary shares<br>759,936 LITCF partly paid shares<br>5,625,000 Performance rights expiring 24/12/2025 (vested) |
| Warrior Finance Pty Ltd, an entity of which Bryan Dixon is a director and shareholder          |  |

**Part 3 – Director's interests in contracts**

|  |  |
|--|--|
| <b>Detail of contract</b>                                    |  |
| <b>Nature of interest</b>                                    |  |
| <b>Name of registered holder (if issued securities)</b>      |  |
| <b>No. and class of securities to which interest relates</b> |  |

---

+ See chapter 19 for defined terms.

## Appendix 3Y Change of Director's Interest Notice

*Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.*

Introduced 30/09/01 Amended 01/01/11

|                       |                             |
|-----------------------|-----------------------------|
| <b>Name of entity</b> | <b>Lithium Australia NL</b> |
| <b>ABN</b>            | <b>29 126 129 413</b>       |

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

|                            |                  |
|----------------------------|------------------|
| <b>Name of Director</b>    | Adrian Griffin   |
| <b>Date of last notice</b> | 30 December 2020 |
| <b>Date of this notice</b> | 27 January 2021  |

### **Part 1 - Change of director's relevant interests in securities**

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

|  |  |
|--|--|
| <b>Direct or indirect interest</b>   | Direct & Indirect  |
| <b>Nature of indirect interest (including registered holder)</b><br>Note: Provide details of the circumstances giving rise to the relevant interest. | Indirect 1 - Adrian Christopher Griffin & Josephine Dawn Norman <The Global Super Fund A/C>, an entity of which Adrian Griffin is a beneficiary<br>Indirect 2 - Adrian Christopher Griffin & Josephine Dawn Norman <Future Technology A/C>, an entity of which Adrian Griffin is a beneficiary |
| <b>Date of change</b>  | 22 January 2021  |
| <b>No. of securities held prior to change</b>  | <b>Direct</b><br>14,513,613 LIT<br>6,291,718 LITCF<br>1,925,754 LITO<br>3,000,000 Performance rights expiring 07/05/2023<br><b>Indirect 1</b><br>2,366,253 LIT<br>105,348 LITO<br>500,000 LITCF<br><b>Indirect 2</b><br>11,250,000 Performance rights expiring 24/12/2025                      |
| <b>Class</b>   | LIT  |

+ See chapter 19 for defined terms.

**Appendix 3Y**  
**Change of Director's Interest Notice**

|  |  |
|--|--|
| <b>Number acquired</b>   | Direct – 138,714   |
| <b>Number disposed</b>   |  |
| <b>Value/Consideration</b><br><small>Note: If consideration is non-cash, provide details and estimated valuation</small>   | \$0.0534 / \$7,403.85  |
| <b>No. of securities held after change</b>   | <b>Direct</b><br>14,652,327 LIT<br>6,291,718 LITCF<br>1,925,754 LITO<br>3,000,000 Performance rights expiring 07/05/2023<br><b>Indirect 1</b><br>2,366,253 LIT<br>105,348 LITO<br>500,000 LITCF<br><b>Indirect 2</b><br>11,250,000 Performance rights expiring<br>24/12/2025 |
| <b>Nature of change</b><br><small>Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back</small> | Direct – Issue of shares pursuant to the Remuneration Sacrifice Share Plan as part of CoVid-19 arrangements  |

**Part 2 – Change of director's interests in contracts**

Note: In the case of a company, interests which come within paragraph (ii) of the definition of “notifiable interest of a director” should be disclosed in this part.

|   |     |
|---|-----|
| <b>Detail of contract</b>   | N/A |
| <b>Nature of interest</b>   | N/A |
| <b>Name of registered holder (if issued securities)</b>   | N/A |
| <b>Date of change</b>   | N/A |
| <b>No. and class of securities to which interest related prior to change</b><br><small>Note: Details are only required for a contract in relation to which the interest has changed</small> | N/A |
| <b>Interest acquired</b>  | N/A |
| <b>Interest disposed</b>  | N/A |
| <b>Value/Consideration</b><br><small>Note: If consideration is non-cash, provide details and an estimated valuation</small>   | N/A |
| <b>Interest after change</b>  | N/A |

**Part 3 – <sup>+</sup>Closed period**

|   |     |
|---|-----|
| <b>Were the interests in the securities or contracts detailed above traded during a <sup>+</sup>closed period where prior written clearance was required?</b> | No  |
| <b>If so, was prior written clearance provided to allow the trade to proceed during this period?</b>  | N/A |
| <b>If prior written clearance was provided, on what date was this provided?</b>   | N/A |

+ See chapter 19 for defined terms.